

### Yale University SBA Self-Certification

In conjunction with the U.S. Small Business Administration (“SBA”), Yale University is committed to developing a diverse contractor base. A significant part of that effort focuses on small-, minority-, and women-owned business concerns. To be in compliance with the U.S. SBA, Yale University requires each of its contractors to submit, as part of the required documentation, this signed and dated self-certification confirming the company’s SBA status. Each contractor is also responsible for notifying Yale University, in writing, within 60 days should any change in SBA status occur.

Please read the following Small Business Administration guideline before certification. There are specific federal penalties for submission of false certifications:

**“Section 16(d) of the Act [the Small Business Act, as amended], (15 USC 645 (d)), makes it a criminal offense to misrepresent in writing the status of any concern as a “small business concern” in order to obtain for oneself or any prime contract to be awarded pursuant to section 9 or 15 of the Act, or any subcontract included as part of all of a goal contained in a subcontracting plan required pursuant to section 8(d) of the Act, or any prime or subcontract to be awarded as a result or in furtherance of any other provisions of Federal law that specifically references section 8 (d) of the Act a definition of program eligibility (such as the Small Disadvantaged Business contracting program). Violations of section 16(d) are punishable by a fine of not more than \$500,000 or by imprisonment for not more than ten years or both, and can result in certain administrative remedies, including suspension and debarment.”**

**\*\*\*Required\*\*\*** For self-certification, please check the appropriate category, or categories, and certify by signing below. *If you do not select one or more of the categories below, you will be categorized as a Large Business.*

<input type="checkbox"/> Small Business	<input type="checkbox"/> Service-Disabled Veteran-Owned Small Business (SDVOSB)
<input type="checkbox"/> Small Disadvantaged Business (SDB)	<input type="checkbox"/> Alaska Native Corporation
<input type="checkbox"/> Women-Owned Small Business (WOSB)	<input type="checkbox"/> Indian Tribes
<input type="checkbox"/> Historically Black College/University or Minority Institution (HBCU/MI)	<input type="checkbox"/> Large Business
<input type="checkbox"/> HUBZone Small Business (HUBZone SB) <i>(provide copy of SBA certification)</i>	<input type="checkbox"/> Foreign Business
<input type="checkbox"/> Veteran-Owned Small Business (VOSB)	<input type="checkbox"/> Other

Certification					
Contractor Name:					
Contractor Representative Name:					
Phone:		Fax:		Email:	
Business Address <i>(street number)</i> :					
City:		State:		Zip Code:	
Signature:				Date:	

\* Refer to the U.S. Small Business Administration website at <https://www.sba.gov/> or call 1-800-827-5722.